

Topics covered:

- Hidden Surface Removal

- Shading

### **Hidden Surface Removal**

- usually implemented in two ways

- binary space partitioning (BSP) tree algorithm

  - SIGGRAPH 1980 paper by Henry Fuchs (now at UNC)

- zbuffering

  - Ed Catmull SIGGRAPH 1978 (now at Pixar)

### **BSP**

order surfaces from front to back

key aspect: preprocessing to create data structure so that info is useful from any viewpoint

BSP tree algorithm is example of painter's algorithm

- draw every object from back to front

- potentially drawing over previous drawn polygons

- sort objects back to front relative to viewpoint

- for each object

  - draw object on screen

Problems cycle (3D objects)

Preprocess all polygons into BSP tree based up implicit plane equation.

Start thinking about this with 2 triangle (see slide 1)

for all points  $p_+$  on one sides of plane  $f_1(p_+) > 0$

for all points  $p_-$  on other side of plane  $f_1(p_-) < 0$

use binrary tree data structure with T1 as root

negative banch contains all triangles who vertices have  $f_i(p) < 0$

positive branch contains all triangles who vertices have  $f_i(p) > 0$

draw(bsptree tree, point e)

- if (tree.empty) then

  - return

- if ( $f\_tree.root(e) < 0$ ) then

  - draw (tree.plus,e)

  - rasterize tree.triangle

  - draw (tree.minus, e)

- else

  - draw(tree.minus, e)

```
rasterize tree.triangle
draw(tree.plus, e)
```

Plane equation

implicit equation for a point p on a plane containing three non-coliear points, a,b,c:

$$f(p) = ((b-a) \times (c-a)) \cdot (p-a) = 0$$

$$\text{or } f(x,y,z) = Ax + By + Cz + D = 0$$

$$\text{where normal of triangle } = n = (A, B, C) = (b - a) \times (c - a)$$

solve for D by plugging any point on the plane: say a

$$D = -Ax_a - By_a - Cz_a = -n \cdot a$$

$$\begin{aligned} f(p) &= n \cdot p - n \cdot a \\ &= n \cdot (p-a) \\ &= 0 \end{aligned}$$

One catch: what about triangles that are not uniquely on one side of a plane or the other?

Answer: split triangles into smaller triangles using the plane to cut them

See Shirley book for more details

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### **z buffering (also called depth-buffering)**

found in hardware and almost every video game and PC graphics

also useful software algorithm

if our problem is pixel level (center of pixel)

easier than finding true depth order in continuous screen space

At each pixel store real z value (distance from pixel to eye of closest triangle rasterized so far)

Use barycentric coordinates to find z values

only write rgb to pixel and update z

if z stored in z buffer is larger than new z

Problems: memory

Assume for discussion,

z

near & far plane

all positive

Use non negative integers

Prefer true floats, but zbuffers need to be fast and cheap

However integers cause precision problems

map 0 to near plane

map B-1 to far plane

then bucket for zbuffer

$$\text{delta } z = (f-n) / B$$

Let  $B = 2^b$ , where b is number of bits used to store z-values

need to have a delta z that doesn't cause precision problems,

ie if triangle have separation of at least one meter, then  $\text{delta } z < 1$  should yield images without problems

Fix problems by moving n & f closer or increase b

b is usually fixed in most APIs and hardware, so adjusting n & f are only choices

Above assumes numbers after perspective

to get real 3D world depth precision:

$$z = n + f - fn/z_w$$

$z_w$  = world depth

approximate bin size by differentiating both sides

$$\text{delta } z \approx -fn \text{ delta } z_w / (z_w)^2$$

so world space

$$\text{delta } z_w \approx - (z_w)^2 \text{ delta } z / (fn)$$

biggest bin is when derivative of  $z = f$ ,

so

$$\begin{aligned} \max(\text{delta } z_w) &= (f)^2 \text{ delta } z / (fn) \\ &= f \text{ delta } z / n \end{aligned}$$

choose  $n = 0$  natural choice if don't want to lose objects right in front of eye, however end up with infinitely large bin... very bad

to make  $\max(\Delta z_w)$  as small as possible,  
want to minimize  $f$ , maximize  $n$

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## Shading

"surface is painted with light"

### Diffuse & Phong (1970x)

Many objects surface appearance loosely described as "matte" ie not at all shiny

Examples include paper, unfinished wood, dry, unpolished stones

such objects do not change color with a change in viewpoint

Matte objects behave like "Lambertian" objects

obeys Lambert's law

color  $c$  proportional to cosine of angle between the surface normal and the direction to the light source

$c$  proportional  $\cos \theta$

$c$  proportional  $n \cdot l$

light is assumed to be distant relative to size of object

directional light (no position, just facing)

surface lighter or darker by changing intensity of light source or the reflectance of the surface

diffuse reflectance  $c_r$  is fraction of light reflected by the surface

fraction is different for different color components

ie if surface is red it reflects higher fraction of red incident light than blue

if we assume that surface color is proportional to light reflected from a surface, then diffuse reflection (color of surface) must be included

$c$  proportional  $c_r n \cdot l$

add effects of light intensity (and color)

$$c = c_r c_l n \cdot l$$

problem is that if all colors are on a scale of  $[0,1]$ , this equation can produce # outside this range (dot product can be negative)

so

$$c = c_r c_l \max(0, n \cdot l) \quad \text{or}$$

$$c = c_r c_l |n \cdot l| \quad \text{often called two-sided lighting}$$

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### **Ambient shading**

Problem of any face facing away from light is black  
doesn't happen in real world

(light reflected from other objects) and  
ambient lighting (sky lighting)

common trick: dim light at eye

another: two sided lighting (can be non-intuitive for naive viewer)

more common: add an ambient term

$$c = c_r (c_a + c_l \max(0, n \cdot l))$$

think of  $c_a$  avg color of all surfaces in scene.

problems in code:  $c_a + c_l \leq (1,1,1)$  or  
clamp (common)

Result is faceted shading of triangulated objects

solution: add normals to vertices, calc  $c$  at each vertex

interpolate across polygon for smooth shading

How to get normals of faceted polygonal models: average  
Normalize light vectors!

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### **Specular**

Problem with this shading: not all surfaces are matte

they have highlights  
tile floors, apples, gloss paint, white boards

highlights move as viewpoint moves  
need to know vector  $e$  to equation

highlights are reflections of the light, color of the light

placing highlight, where reflection of light would be, call it  $r$   
 $r$  is the reflected light from the light  
so given vector  $l$ , make angle to normal on surface ( $\theta$ ),  
 $r$  is also  $\theta$  off of  $n$

function for highlight: bright where  $e = r$ , falls off gradually

think about  $c = c_l (e \cdot r)$   
problem: range of values can be negative; too wide  
solution: narrow by raising to power & add max term

$$c = c_l \max(0, e \cdot r)^p$$

$p$  is called the Phong Exponent  
a positive real number

(Need image of examples)

Calculating  $r$

$$r = -l + 2(l \cdot n)n$$

$(l \cdot n)$  gets you the  $\cos \theta$ , so  $r = -l + 2 \cos \theta n$

An alternative uses half way vector,  $h$ , a unit vector halfway between  $L$  and  $e$   
 $h = (e + l) / (\|e + l\|)$

highlight occurs when  $h$  is near  $n$ , ie when  $\cos \phi = h \cdot n$  is near 1

$$c = c_l (h \cdot n)^p$$

this is different than using  $r$ . angle between  $h$  and  $n$  is half size of angle between  $e$  and  $r$

advantage of using  $h$ : always positive for eye and light above plane

in practice want diffuse and a highlight so:

$$c = c_r (c_a + c_l \max(0, n \cdot l)) + c_l (h \cdot n)^p$$

we can dim and color the highlight by using  $c_p$ :

$$c = c_r (c_a + c_l \max(0, n \cdot l)) + c_l c_p (h \cdot n)^p$$

good for coding:  $c_p = 1 - M$  where  $M$  is max component of  $c_r$  to keep color below one for light source and no ambient term

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## Gouraud & Phong Shading

Interpolate normals, calculate lighting at each vertex, then  
interpolate colors

    this is Gouraud shading (Communication of ACM June 1971)  
    (intensity interpolation shading or color interpolation shading)  
but lighting changes too fast.. what happens if  
highlight lands on one vertex? what if highlights lands in middle of polygon

Phong shading (Communications of the ACM June 1975)  
(normal vector interpolation shading)  
so instead better to interpolate normals and vertex colors,  
    interpolate a normal and color for each pixel,  
    then light the pixel

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## Lighting in OpenGL

Specifying the lights thru  
4 independent components  
emissive, ambient, diffuse and specular  
computed independently and then added together

ambient: light been scattered by environment, origin unknown  
- light added to entire scene, regardless of viewpoint

diffuse: light comes from one direction  
brighter if comes squarely down on surface than if it merely glances off the surface  
once it hits a surface, it is scattered equally in all directions  
(ie viewpoint doesn't change this)  
- Color of the light

specular light: particular direction

tends to bounce off in a preferred direction  
(shininess)

each of these is represented by a color

for if have white light bouncing off a blue room, the scattered light  
tends to be blue, but light directly hitting surface is white

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### **OpenGL Object Materials:**

determine what surface of object is made of

red ball -> reflects incoming red light, absorbs all green and blue light that hits it  
in white light: appears red  
in red light: appears red  
in blue light: appears? .... black! no light is reflected

materials are specified by emissive, ambient, diffuse and specular too  
ambient & diffuse are similar if not the same  
specular is usually white or grey so the specular highlights end up being the color of  
the light source's specular intensity

emissive: simulate light originating from object  
doesn't add light to other objects

Start lightmaterial demo

```
cd ~/Teaching/CS351_IntroCG/NatesTutors]
./lightmaterial
```

### **Diffuse**

diffuse plays most important role in determining perceived color of object  
it is affected by color of incident diffuse light and the angle of the incident light relative  
to normal of surface... most intense when incident light falls perpendicular to surface  
(parallel to surface normal)  
not affected by position of light

### **Ambient**

ambient reflectance affects the overall color of the object  
most noticeable when object receives no direct illumination  
affected by global ambient light and ambient light from individual light sources  
not affected by position of light



for real world objects, diffuse and ambient reflectance are normally the same color

```
GLfloat mat_amb_diff[] = { 0.1, 0.5, 0.8, 1.0 };  
glMaterialfv(GL_FRONT_AND_BACK, GL_AMBIENT_AND_DIFFUSE,  
             mat_amb_diff);
```

### **Specular reflection**

dependent upon location of viewpoint

effect that the material has on reflected light (with GL\_SPECULAR) and

control the size and brightness of the highlight (with GL\_SHININESS).

[0.0, 128.0] for GL\_SHININESS

the higher the value, the smaller and brighter (more focused) the highlight.

### **Emission**

```
GLfloat mat_emission[] = {0.3, 0.2, 0.2, 0.0};  
glMaterialfv(GL_FRONT, GL_EMISSION, mat_emission);  
make light appear to give off color  
Note: most real objects (except lights) don't emit light  
useful for simulating lamps or other light sources in a scene
```

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### **glColorMaterial**

```
glEnable(GL_COLOR_MATERIAL);  
glColorMaterial(GL_FRONT, GL_DIFFUSE);  
/* now glColor* changes diffuse reflection */  
glColor3f(0.2, 0.5, 0.8);  
/* draw some objects here */  
glColorMaterial(GL_FRONT, GL_SPECULAR);  
/* glColor* no longer changes diffuse reflection */  
/* now glColor* changes specular reflection */  
glColor3f(0.9, 0.0, 0.2);  
/* draw other objects here */  
glDisable(GL_COLOR_MATERIAL);
```

You should use glColorMaterial() when only need to change a single material parameter

if need to change more than one material parameter, use glMaterial\*()

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## Creating light sources

OpenGL: usually at most can only specify 8 lights; performance hit for # of lights

color  
position  
direction

start lighting demo  
    cd ~/Teaching/CS351\_IntroCG/NatesTutors  
    ./lightposition

### directional light

infinite location rays of light are parallel by time reach object  
ex: sun

### positional source

or can make it a  
position & directional source (see pg 183)  
ex: desk lamp

### Attenuation

real world lights attenuate, ie less light reaches farther objects

attenuation factor:  $1 / (k_c k_l d + k_q d^2)$

d is distance to light to vertex

$k_c$  = constant attenuation (default to 1)

$k_l$  = linear attenuation (default to 0)

$k_q$  = quadratic attenuation (default to 0)

### Spot light

cone of light

specify angle between the axis of the cone and a ray along the edge of the cone  
(half angle [0,90])

specify direction in object coordinates

can set attenuation factor

can set GL\_SPOT\_EXPONENT to control how concentrated the light is  
    highest in center of cone

### Code Examples

(see powerpoint slides)

```

#include <GL/gl.h>
#include <GL/glu.h>
#include <GL/glut.h>

void init(void)
{
    GLfloat mat_specular[] = { 1.0, 1.0, 1.0, 1.0 };
    GLfloat mat_shininess[] = { 50.0 };
    GLfloat light_position[] = { 1.0, 1.0, 1.0, 0.0 };
    glClearColor (0.0, 0.0, 0.0, 0.0);
    glShadeModel (GL_SMOOTH);

    glMaterialfv(GL_FRONT, GL_SPECULAR, mat_specular);
    glMaterialfv(GL_FRONT, GL_SHININESS, mat_shininess);
    glLightfv(GL_LIGHT0, GL_POSITION, light_position);

    glEnable(GL_LIGHTING);
    glEnable(GL_LIGHT0);
    glEnable(GL_DEPTH_TEST);
}

void display(void)
{
    glClear (GL_COLOR_BUFFER_BIT | GL_DEPTH_BUFFER_BIT);
    glutSolidSphere (1.0, 20, 16);
    glFlush ();
}

void reshape (int w, int h)
{
    glViewport (0, 0, (GLsizei) w, (GLsizei) h);
    glMatrixMode (GL_PROJECTION);
    glLoadIdentity();
    if (w <= h)
        glOrtho (-1.5, 1.5, -1.5*(GLfloat)h/(GLfloat)w,
                1.5*(GLfloat)h/(GLfloat)w, -10.0, 10.0);
    else
        glOrtho (-1.5*(GLfloat)w/(GLfloat)h,
                1.5*(GLfloat)w/(GLfloat)h, -1.5, 1.5, -10.0, 10.0);
    glMatrixMode(GL_MODELVIEW);
    glLoadIdentity();
}

int main(int argc, char** argv)
{

```

```

glutInit(&argc, argv);
glutInitDisplayMode (GLUT_SINGLE | GLUT_RGB | GLUT_DEPTH);
glutInitWindowSize (500, 500);
glutInitWindowPosition (100, 100);
glutCreateWindow (argv[0]);
init ();
glutDisplayFunc(display);
glutReshapeFunc(reshape);
glutMainLoop();
return 0;
}

```

### **Add Spot light**

```

GLfloat light1_ambient[] = { 0.2, 0.2, 0.2, 1.0 };
GLfloat light1_diffuse[] = { 1.0, 1.0, 1.0, 1.0 };
GLfloat light1_specular[] = { 1.0, 1.0, 1.0, 1.0 };
GLfloat light1_position[] = { -2.0, 2.0, 1.0, 1.0 };
GLfloat spot_direction[] = { -1.0, -1.0, 0.0 };

glLightfv(GL_LIGHT1, GL_AMBIENT, light1_ambient);
glLightfv(GL_LIGHT1, GL_DIFFUSE, light1_diffuse);
glLightfv(GL_LIGHT1, GL_SPECULAR, light1_specular);
glLightfv(GL_LIGHT1, GL_POSITION, light1_position);
glLightf(GL_LIGHT1, GL_CONSTANT_ATTENUATION, 1.5);
glLightf(GL_LIGHT1, GL_LINEAR_ATTENUATION, 0.5);
glLightf(GL_LIGHT1, GL_QUADRATIC_ATTENUATION, 0.2);

glLightf(GL_LIGHT1, GL_SPOT_CUTOFF, 45.0);
glLightfv(GL_LIGHT1, GL_SPOT_DIRECTION, spot_direction);
glLightf(GL_LIGHT1, GL_SPOT_EXPONENT, 2.0);

glEnable(GL_LIGHT1);

```

### **Example 5-4 : Stationary Light Source**

```

glViewport (0, 0, (GLsizei) w, (GLsizei) h);
glMatrixMode (GL_PROJECTION);
glLoadIdentity();
if (w <= h)
    glOrtho (-1.5, 1.5, -1.5*h/w, 1.5*h/w, -10.0, 10.0);
else

```

```

    glOrtho (-1.5*w/h, 1.5*w/h, -1.5, 1.5, -10.0, 10.0);
    glMatrixMode (GL_MODELVIEW);
    glLoadIdentity();

    /* later in init() */
    GLfloat light_position[] = { 1.0, 1.0, 1.0, 1.0 };
    glLightfv(GL_LIGHT0, GL_POSITION, position);

```

As you can see, the viewport and projection matrices are established first. Then, the identity matrix is loaded as the modelview matrix, after which the light position is set. Since the identity matrix is used, the originally specified light position (1.0, 1.0, 1.0) isn't changed by being multiplied by the modelview matrix. Then, since neither the light position nor the modelview matrix is modified after this point, the direction of the light remains (1.0, 1.0, 1.0).

### Example 5-5 : Independently Moving Light Source

```

static GLdouble spin;

void display(void)
{
    GLfloat light_position[] = { 0.0, 0.0, 1.5, 1.0 };
    glClear(GL_COLOR_BUFFER_BIT | GL_DEPTH_BUFFER_BIT);

    glPushMatrix();
        gluLookAt (0.0, 0.0, 5.0, 0.0, 0.0, 0.0, 1.0, 0.0);
        glPushMatrix();
            glRotated(spin, 1.0, 0.0, 0.0);
            glLightfv(GL_LIGHT0, GL_POSITION, light_position);
        glPopMatrix();
        glutSolidTorus (0.275, 0.85, 8, 15);
    glPopMatrix();
    glFlush();
}

```

Light is rotated around torus, eyepoint is unchanged

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### OpenGL Lighting Equation

color produced by lighting a vertex:

vertex color = material emission at the vertex +  
 global ambient light scaled by material's ambient property at vertex +

ambient, diffuse, and specular contributions from all light source, properly attenuated

color clamped to [0,1]

vertex color = emission\_material +

ambient\_(light model) \* ambient\_material +

sum (i=0 to n-1) (1/(k\_c + k\_i\*d + k\_q d^2)\*(spotlight effect)\_i \*

[ambient\_light \* ambient\_material +

(max { L · n , 0 } ) \* diffuse\_light \* diffuse\_material +

(max { s · n , 0 } ) shininess \* specular\_light \* specular\_material ]\_i

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## Artistic Shading

Taking this a step further, motivate artistic shading

### Silhouettes

draw silhouette if (e.n1)(e.n2) <= 0

### Cool to Warm shading:

think about the sun and the sky

warm light & use the cosine to modulate color  
warmth constant

$$k_w = (1 + (n \cdot l)) / 2$$

$$c = k_w c_w + (1 - k_w) c_c$$

## Toon Shading

Examples:

Nvidia: [developer.nvidia.com/object/Toon\\_Shading.html](http://developer.nvidia.com/object/Toon_Shading.html)

Blender: [w3imagis.imag.fr/Membres/Jean-Dominique.Gascuel/DEAIVR/](http://w3imagis.imag.fr/Membres/Jean-Dominique.Gascuel/DEAIVR/)

Cours2002/17%20janvier/Blender-tutorial80.pdf

Intel: <http://www.intel.com/labs/media/3dsoftware/nonphoto.htm>